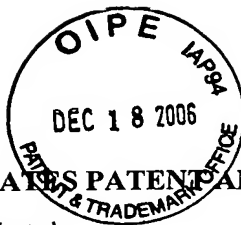


Docket No. 296739US0PCT



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Jean-Marc SUAUE, et al.

SERIAL NO: 10/594,520

GAU:

FILED: September 28, 2006

EXAMINER:

FOR: TRITHIOCARBONATE DERIVATIVES AND THE USE THEREOF IN THE FORM OF TRANSFER AGENTS
FOR ACRYLIC ACID CONTROLLED RADICAL POLYMERISATION

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references cited in the International Search Report and listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☒ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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| Form PTO 1449 (Modified) | | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | | ATTY DOCKET NO. 296739US0PCT | | SERIAL NO. 10/594,520 | |
|---|----|---|----------|---|-----------------------|--|-------------------------------|
| <div style="display: flex; align-items: center; justify-content: center;"> <div style="border: 1px solid black; border-radius: 50%; padding: 10px; text-align: center; margin: 0 10px;"> O I P E DEC 18 2006 PATENT & TRADEMARK OFFICE </div> <div> LIST OF REFERENCES CITED BY APPLICANT </div> </div> | | | | APPLICANT Jean-Marc SUAUI, et al. | | | |
| | | | | FILING DATE September 28, 2006 | | GROUP | |
| U.S. PATENT DOCUMENTS | | | | | | | |
| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS | FILING DATE IF APPROPRIATE |
| | AA | 2006/0111534 | 05/25/06 | SUAUI, Jean-Marc et al. (10/522,340) | | | |
| | AB | 2004/0097674 | 05/20/04 | SUAUI, Jean-Marc et al. (10/468,398) | | | |
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| FOREIGN PATENT DOCUMENTS | | | | | | | |
| | | DOCUMENT NUMBER | DATE | COUNTRY | TRANSLATION YES NO | | |
| | AO | 01/60792 | 08/23/01 | WO(equivalent of US 6 596 899, US 2003/187138, US 2003/120101, US 2003/233006, US 2004/073056, US 2005/014910, US 2005/009999 & US 2005/038280) | | NO | |
| | AP | 03/055919 | 07/10/03 | WO | | NO | |
| | AQ | 02/070571 | 09/12/02 | WO(with English abstract & equivalent of US 2004/097674) | | NO | |
| | AR | 217 214 | 01/09/85 | DD | | NO | |
| | AS | | | | | | |
| | AT | | | | | | |
| | AU | | | | | | |
| | AV | | | | | | |
| OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.) | | | | | | | |
| | AW | MAYADUNNE, Roshan T. A. et al., "Living Polymers by the Use of Trithiocarbonates as Reversible Addition-Fragmentation Chain Transfer (RAFT) Agents: ABA Triblock Copolymers by Radical Polymerization in Two Steps", Macromolecules, Vol. 33, No. 2, Pages 243-245, 2000. | | | | | |
| | AX | LAI, John T. et al., "Functional Polymers from Novel Carboxyl-Terminated Trithiocarbonates as Highly Efficient RAFT Agents", Macromolecules, Vol. 35, No. 18, Pages 6754-6756, 2002. | | | | | |
| | AY | | | | | | |
| | AZ | | | | | <input type="checkbox"/> Additional References sheet(s) attached | |
| Examiner | | | | | Date Considered | | |
| *Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | | | | | | | |



Docket No.: 296739US0PCT

Serial No.: 10/594,520

Inventor: Jean-Marc SUAUI, et al.

LIST OF RELATED CASES CITED BY
APPLICANT UNDER 37 CFR 1.56

Filing Date: September 28, 2006

Group:

LIST OF RELATED CASES

| <u>Examiner Initial</u> | <u>Docket No.</u> | <u>Serial or Patent Number</u> | <u>Filing or Issue Date</u> | <u>Patent App. Publication No.</u> | <u>Inventor or Applicant</u> |
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| | 296733US0PCT | 10/594,519 | 09/28/06 | | SUAUI, et al. |
| | 264369US0PCT | 10/522,340 | 08/12/05 | US2006/0111534 A1 | SUAUI, et al. |
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Examiner

Date Considered

*Present Application; listed for information
NFO/sbs/kch